



# Diastereoselective aldol reaction of an $\alpha$ -alkoxycarbonylamino aldehyde with a silyl enol ether

Yasuki Yamada, Eiji Shirakawa,<sup>†</sup> Koji Ando, Saizo Shibata and Itsuo Uchida\*

Central Pharmaceutical Research Institute, Japan Tobacco Inc., 1-1 Murasaki-cho, Takatsuki, Osaka 569-1125, Japan

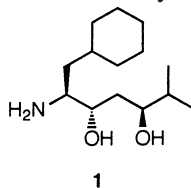
Received 27 July 1999; accepted 29 July 1999

## Abstract

The aldol reaction of optically active  $\alpha$ -alkoxycarbonylamino aldehydes with a silyl enol ether in the presence of a Lewis acid afforded  $\gamma$ -amino- $\beta$ -hydroxyketones diastereoselectively. The effect of the  $\alpha$ -amide proton on the diastereoselectivity is discussed. © 1999 Elsevier Science Ltd. All rights reserved.

## 1. Introduction

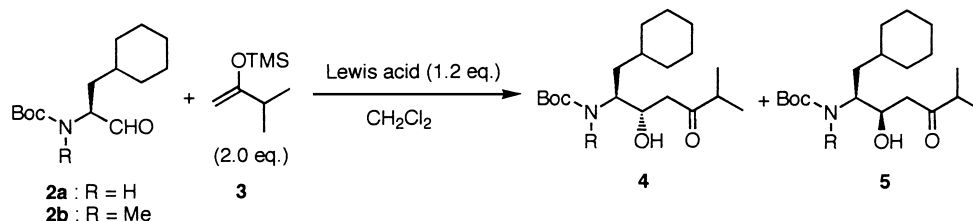
Optically active  $\beta$ -amino alcohols are biologically interesting compounds which are widely used in the inhibitors of aspartic proteases such as renin and HIV-proteases.<sup>1</sup> We have already reported a series of potent renin inhibitors which contain a (2*S*,3*S*,5*S*)-2-amino-1-cyclohexyl-6-methyl-3,5-heptanediol fragment (**1**) as a transition state mimic in the renin-catalyzed reaction.<sup>2</sup>



Since aminodiol **1** could be prepared from *syn*- $\gamma$ -amino- $\beta$ -hydroxyketone **4a** with a high stereoselectivity by use of the Evans' reduction method,<sup>3</sup> the diastereoselective synthesis of **4a** was explored for the preparation of **1**. Thus, we proposed the reaction of optically active  $\alpha$ -alkoxycarbonylamino aldehyde **2a** with silyl enol ether **3** in the presence of a Lewis acid, which was obtained from the Mukaiyama stereoselective aldol reaction,<sup>4</sup> as shown in Scheme 1. Here we report the stereoselective aldol reaction, and we also discuss a mechanism to explain the stereoselectivity.

\* Corresponding author. E-mail: itsuo.uchida@ims.jti.co.jp

<sup>†</sup> New address: Graduate School of Materials Science, Japan Advanced Institute of Science and Technology, Asahidai, Tatsunokuchi, Ishikawa 923-1292, Japan.



Scheme 1.

## 2. Results and discussion

The results of the reaction of *N*-*tert*-butoxycarbonyl-L-cyclohexylalaninal **2a**<sup>5</sup> with *O*-trimethylsilyl enolate **3**<sup>6</sup> in the presence of various Lewis acids (1.2 equiv.) are summarized in Table 1. The aldol reaction of **2a** with **3** in dichloromethane at  $-78^\circ\text{C}$  using titanium(IV) chloride ( $\text{TiCl}_4$ ) as the Lewis acid afforded the desired *syn*-adduct **4a** along with the *anti*-isomer **5a** in a ratio of 80 to 20 (run 1).<sup>7</sup> The use of tin(IV) chloride ( $\text{SnCl}_4$ ) also gave similar stereoselectivity as  $\text{TiCl}_4$  (run 2).<sup>8</sup> When boron trifluoride etherate ( $\text{BF}_3 \cdot \text{OEt}_2$ ) was used as a Lewis acid, both the stereoselectivity and yield increased (runs 3 and 4).

Table 1  
The aldol reaction of aldehyde **2** with *O*-trimethylsilyl enol ether **3** in the presence of a Lewis acid

| Run | Aldehyde  | Lewis acid                              | Temp. ( $^\circ\text{C}$ ) | Yield (%)       | Ratio of <b>4</b> to <b>5</b> |
|-----|-----------|---|----------------------------|-----------------|-------------------------------|
| 1   | <b>2a</b> | $\text{TiCl}_4$                         | $-78$                      | 51 <sup>a</sup> | 80 : 20 <sup>a</sup>          |
| 2   | <b>2a</b> | $\text{SnCl}_4$                         | $-78$                      | 56 <sup>a</sup> | 80 : 20 <sup>a</sup>          |
| 3   | <b>2a</b> | $\text{BF}_3 \cdot \text{Et}_2\text{O}$ | $-78$                      | 66 <sup>a</sup> | 93 : 7 <sup>a</sup>           |
| 4   | <b>2a</b> | $\text{BF}_3 \cdot \text{Et}_2\text{O}$ | $-40$                      | 73 <sup>a</sup> | 90 : 10 <sup>a</sup>          |
| 5   | <b>2b</b> | $\text{BF}_3 \cdot \text{Et}_2\text{O}$ | $-78$                      | 38              | 20 : 80 <sup>b</sup>          |
| 6   | <b>2b</b> | $\text{SnCl}_4$                         | $-78$                      | 27              | 20 : 80 <sup>b</sup>          |

<sup>a</sup> Determined by HPLC analysis using (D)-diethyl tartarate as an internal standard.

<sup>b</sup> Determined by  $^1\text{H-NMR}$  as the corresponding acetate.

In the aldol reaction of **2a** mediated by  $\text{TiCl}_4$  or  $\text{SnCl}_4$ , the observed *syn*-selectivity can be rationalized in terms of the chelation-controlled mechanism (Fig. 1, (A)) as suggested by Terashima et al.<sup>8</sup> However,  $\text{BF}_3 \cdot \text{OEt}_2$ , which is incapable of chelation,<sup>9</sup> gave **4a** with a higher stereoselectivity. We propose that this selectivity is explained by the hydrogen bonding interaction between the carbonyl oxygen of the aldehyde and the  $\alpha$ -NH proton (Fig. 1, (B)).<sup>10</sup> Thus, nucleophile **3** approaches from the less hindered side of the hydrogen bonded five-membered ring, giving the *syn*-adduct **4a**. To confirm the effect of the  $\alpha$ -NH proton on the diastereoselectivity, we examined the aldol reaction of *N*-methylated aldehyde

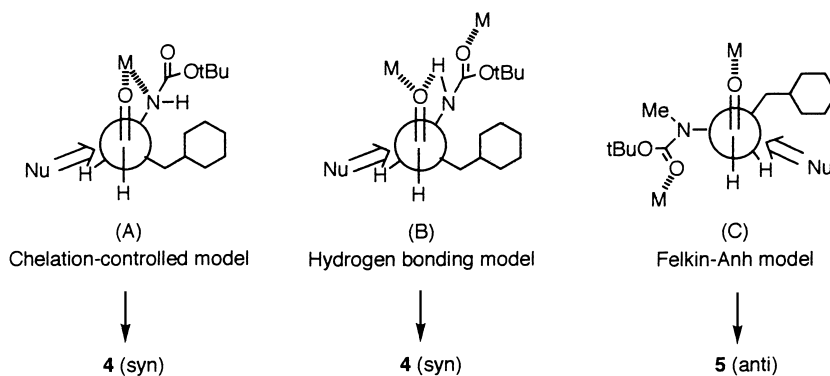


Figure 1.

**2b** mediated by  $\text{BF}_3 \cdot \text{OEt}_2$ , and found an inversion of the stereoselectivity (run 5).<sup>11</sup> This result can be rationalized by the Felkin–Anh model (Fig. 1, (C)). Interestingly, in the aldol reaction of **2b** with  $\text{SnCl}_4$ , the ‘Felkin–Anh’ product **5b** was obtained in preference to the ‘chelation-controlled’ product **4b** (run 6).

To gain more insight into the hydrogen bonding model described above, we observed the conformational changes in aldehydes **2a** and **2b** induced by the addition of  $\text{BF}_3 \cdot \text{OEt}_2$  at  $-78^\circ\text{C}$  in  $\text{CD}_2\text{Cl}_2$  with NMR spectroscopy. The  $^1\text{H}$  and  $^{13}\text{C}$  NMR data are summarized in Table 2. By addition of 1 equiv. of  $\text{BF}_3 \cdot \text{OEt}_2$ , the carbamate ( $\text{NC}(=\text{O})\text{O}$ ) and the *tert*-butyl ( $\text{OC}(\text{CH}_3)_3$ ) carbon signals were shifted to downfield, and the signals derived from noncomplexed ether (14.0, 65.0 ppm in  $^{13}\text{C}$  NMR) appeared in both the NMR spectra of **2a** and **2b**. These results suggest that 1 equiv. of  $\text{BF}_3$  is quantitatively coordinated by the carbamate oxygen of the substrate. From our experimental results, the aldol reaction of **2a** with less than 1 equiv. of  $\text{BF}_3 \cdot \text{OEt}_2$  did not take place at all: the activation of the aldehyde carbonyl group by excess  $\text{BF}_3$  is thought to be required to promote the reaction. However, the downfield shifts of the aldehyde proton or carbon signals implying the complexation of the aldehyde oxygen of **2a** with  $\text{BF}_3$  were not detected even after addition of 2 equiv. of  $\text{BF}_3 \cdot \text{OEt}_2$  in the NMR experiment. The life-time of the transition state, in which the aldehyde oxygen of **2a** coordinates with  $\text{BF}_3$ , may be too short to be detected by NMR. Instead, the different behavior of the aldehyde group was observed between **2a** and **2b** in the NMR studies. By exposure of *N*-methylated substrate **2b** to  $\text{BF}_3 \cdot \text{OEt}_2$ , the proton and carbon signals of aldehyde disappeared, and the signals corresponding to an acetal function appeared. This result indicates that  $\text{BF}_3$  promoted the conversion of **2b** to its trimer, paraldehyde.<sup>12</sup> The low yield observed in the aldol reaction of **2b** (Table 1) may be due to this paraldehyde formation. In contrast, no trimerization was observed in the mixture of **2a** and  $\text{BF}_3 \cdot \text{OEt}_2$ . From these results, it is suggested that the aldehyde carbonyl group of **2a** is reluctant to be converted to its trimer since it forms a hydrogen bond with the  $\alpha$ -NH proton.

Aminodiol **1** was prepared as shown in Scheme 2. The reduction of hydroxyketone **4a** using tetramethylammonium borohydride in propionic acid afforded *anti*-diol **6** with a high stereoselectivity (84% de).<sup>3</sup> After recrystallization, enantiomerically pure **6** was obtained in 34% yield starting from the crude mixture of **4a** and **5a** produced under the conditions of run 3 in Table 1. The Boc group of **6** was removed by treatment with trifluoroacetic acid to give aminodiol **1**.

### 3. Conclusions

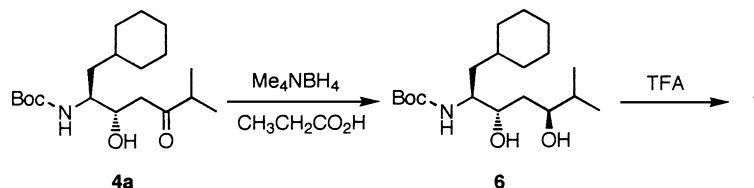
The aldol reaction of optically active  $\alpha$ -alkoxycarbonylamino aldehyde **2a** with silyl enol ether in the presence of a Lewis acid afforded *syn*- $\gamma$ -amino- $\beta$ -hydroxyketone **4a** diastereoselectively. The

Table 2  
 $^1\text{H}$  and  $^{13}\text{C}$  NMR data of **2a** and **2b** and their complexes with  $\text{BF}_3 \cdot \text{OEt}_2$

| substrate | $\text{BF}_3 \cdot \text{OEt}_2$ | $^1\text{H}$ $\delta$ (ppm) |                             | $^{13}\text{C}$ $\delta$ (ppm) |                                       |
|-----------|----------------------------------|-----------------------------|-----------------------------|--------------------------------|---------------------------------------|
|           |                                  | <u>CHO</u>                  | <u>CHO</u>                  | <u>NC(=O)O</u>                 | <u>OC(CH<sub>3</sub>)<sub>3</sub></u> |
| <b>2a</b> | none                             | 9.42                        | 200.7                       | 155.0                          | 79.4                                  |
| <b>2a</b> | 1 equiv.                         | 9.42                        | 195.8                       | 156.8                          | 91.1                                  |
| <b>2a</b> | 2 equiv.                         | 9.42                        | 195.8                       | 156.8                          | 91.1                                  |
| <b>2b</b> | none                             | 9.49, 9.53 <sup>a</sup>     | 202.1                       | 154.1, 155.0 <sup>a</sup>      | 79.3, 79.8 <sup>a</sup>               |
| <b>2b</b> | 1 equiv.                         | 6.11, 6.18 <sup>a,b</sup>   | 109.2, 110.1 <sup>a,b</sup> | 158.1, 158.9 <sup>a</sup>      | 96.3, 97.9 <sup>a</sup>               |
| <b>2b</b> | 2 equiv.                         | 6.11, 6.18 <sup>a,b</sup>   | 109.2, 110.1 <sup>a,b</sup> | 158.1, 158.9 <sup>a</sup>      | 96.3, 97.9 <sup>a</sup>               |

<sup>a</sup> Two peaks due to rotamers.

<sup>b</sup> Paraldehyde



Scheme 2.

*syn*-selectivity with  $\text{BF}_3 \cdot \text{OEt}_2$  as a Lewis acid can be explained by the transition state model of the intramolecular hydrogen bonding formed between the  $\alpha$ -amide proton and the aldehyde oxygen of **2a**.

## 4. Experimental

### 4.1. General

Melting points were determined on a Buchi 535 melting point apparatus. Infrared (IR) spectra were recorded on a Jasco IR700 IR spectrometer or on a Perkin–Elmer 1650 FT-IR spectrometer. Optical rotations were measured with a Perkin–Elmer 241 polarimeter. Nuclear magnetic resonance (NMR) spectra were measured on Bruker AMX300 (300 MHz), ARX400 (400 MHz) and Jeol JNMA300 (300 MHz) instruments. Chemical shifts are reported as  $\delta$  values (parts per million relative to tetramethylsilane as an internal standard). Fast atom bombardment mass spectra (FABMS) were obtained with a Finnigan MAT TSQ700 mass spectrometer or with a Jeol SX102 mass spectrometer. Elemental analyses were performed by the Analytical Group, Central Pharmaceutical Research Institute, Japan Tobacco Inc. Thin-layer chromatography (TLC) was carried out using Merck precoated silica gel 60 F-254 plates (thickness

0.25 mm). Preparative TLC was carried out using Merck precoated silica gel 60 F-254 plates (thickness 0.5–1.0 mm). Column chromatography was carried out using Merck silica gel 60 (70–230 mesh or 230–400 mesh).

#### 4.2. General procedure for the aldol reactions

A solution of *N*-Boc-cyclohexylalaninal **2a** (1.0 mmol) in CH<sub>2</sub>Cl<sub>2</sub> (10 ml) was treated with a Lewis acid (1.2 mmol). After being stirred for 10 min, silyl enolate **3** (2.0 mmol) was added and the mixture was stirred for 1 h. The mixture was poured into a mixture of ice and saturated aqueous NaHCO<sub>3</sub>, and extracted with ether. The organic layer was washed with brine, dried over MgSO<sub>4</sub> and evaporated. The residue was separated by silica gel chromatography with a mixture of AcOEt and hexane (1:9) to give the analytical samples of hydroxyketone **4a** and **5a**.

##### 4.2.1. (5*S*,6*S*)-6-(tert-Butoxycarbonyl)amino-7-cyclohexyl-2-methyl-5-hydroxy-3-heptanone **4a**

A pale yellow oil. *R*<sub>f</sub> 0.40 (AcOEt:hexane=3:7). <sup>1</sup>H NMR (CDCl<sub>3</sub>) δ: 0.8–1.9 (m, 13H), 1.11 (d, 6H, *J*=6.9 Hz, -CH(CH<sub>3</sub>)<sub>2</sub>), 1.45 (s, 9H, -C(CH<sub>3</sub>)<sub>3</sub>), 2.5–2.8 (m, 3H), 3.40 (d, 1H, *J*=2.3 Hz), 3.61 (m, 1H), 4.02 (m, 1H), 4.70 (br d, 1H, *J*=9.9 Hz, -CONH-). FABMS *m/z*: [M+H]<sup>+</sup> calcd for C<sub>19</sub>H<sub>36</sub>NO<sub>4</sub>: 342.2646. Found: 342.2649.

##### 4.2.2. (5*R*,6*S*)-6-(tert-Butoxycarbonyl)amino-7-cyclohexyl-2-methyl-5-hydroxy-3-heptanone **5a**

White solid. *R*<sub>f</sub> 0.34 (AcOEt:hexane=3:7). Mp 94–96°C. <sup>1</sup>H NMR (CDCl<sub>3</sub>) δ: 0.7–2.0 (m, 13H), 1.10 (d, 6H, *J*=6.9 Hz, -CH(CH<sub>3</sub>)<sub>2</sub>), 1.44 (s, 9H, -C(CH<sub>3</sub>)<sub>3</sub>), 2.5–2.8 (m, 3H), 3.40 (br d, 1H), 3.63 (m, 1H), 3.97 (m, 1H), 4.55 (br d, 1H, -CONH-). Anal. calcd for C<sub>19</sub>H<sub>35</sub>NO<sub>4</sub>: C, 66.83; H, 10.33; N, 4.10. Found: C, 66.96; H, 10.49; N, 4.11.

#### 4.3. (2*S*,3*S*,5*S*)-2-(tert-Butoxycarbonyl)amino-1-cyclohexyl-6-methyl-3,5-heptanediol **6**

To propionic acid (200 ml) was added tetramethylammonium borohydride (10 g, 113 mmol) by portions at 0°C, and the mixture was stirred for 1 h. To the mixture was added dropwise a solution of crude **4a** (9.62 g, 28 mmol), which was prepared under the conditions of run 3 in Table 1, in propionic acid (50 ml). After being stirred for 2 h at 0°C, the mixture was poured into a mixture of ice and saturated aqueous NH<sub>4</sub>Cl and extracted with CH<sub>2</sub>Cl<sub>2</sub> (500 ml). The organic layer was washed with aqueous 2N NaOH (200 ml×3) and brine (300 ml×2), dried over MgSO<sub>4</sub>, then evaporated. The residue was recrystallized from hexane:AcOEt (10:1) to give **6** (3.3 g, 34%) as white crystals. *R*<sub>f</sub> 0.63 (AcOEt:hexane=1:1). Mp 148–150°C. IR (KBr) cm<sup>-1</sup>: 1716. [α]<sub>D</sub><sup>25</sup> -54.3 (c=1.05, MeOH). <sup>1</sup>H NMR (CDCl<sub>3</sub>) δ: 0.8–1.9 (m, 16H), 0.90 (d, 3H, *J*=6.8 Hz, -CH(CH<sub>3</sub>)<sub>2</sub>), 0.94 (d, 3H, *J*=6.7 Hz, -CH(CH<sub>3</sub>)<sub>2</sub>), 1.45 (s, 9H, -C(CH<sub>3</sub>)<sub>3</sub>), 2.47 (br d, 1H, -OH), 2.68 (br d, 1H, -OH), 3.59 (m, 1H), 3.69 (m, 1H), 3.83 (m, 1H), 4.64 (br d, 1H, *J*=9.1 Hz, -CONH-). Anal. calcd for C<sub>19</sub>H<sub>37</sub>NO<sub>4</sub>: C, 66.44; H, 10.86; N, 4.08. Found: C, 66.55; H, 11.09; N, 4.31.

#### 4.4. (2*S*,3*S*,5*S*)-2-Amino-1-cyclohexyl-6-methyl-3,5-heptanediol **1**

A solution of **6** (2.44 g, 7.1 mmol) in trifluoroacetic acid (50 ml) was stirred for 30 min at room temperature, then concentrated. Saturated aqueous NaHCO<sub>3</sub> (50 ml) was added to the residue, and the mixture was extracted with CHCl<sub>3</sub> (50 ml×3). The combined organic layer was dried over MgSO<sub>4</sub> and evaporated. The residue was purified by silica gel chromatography with a mixture of CHCl<sub>3</sub>, MeOH

and  $\text{NH}_4\text{OH}$  (95:5:0.5) to give **1** (1.59 g, 92%) as white crystals. Mp 88–90°C.  $[\alpha]_{\text{D}}^{25} -53.3$  (c=1.02, MeOH).  $^1\text{H NMR}$  ( $\text{CDCl}_3$ )  $\delta$ : 0.8–1.9 (m, 16H), 0.91 (d, 3H,  $J=6.8$  Hz,  $-\text{CH}(\text{CH}_3)_2$ ), 0.95 (d, 3H,  $J=6.7$  Hz,  $-\text{CH}(\text{CH}_3)_2$ ), 2.75 (ddd, 1H,  $J=3.3, 6.6, 9.9$  Hz,  $\text{NH}_2\text{CH}<$ ), 3.51 (dt, 1H,  $J=3.8, 7.0$  Hz), 3.61 (ddd, 1H,  $J=2.8, 6.1, 8.9$  Hz). Anal. calcd for  $\text{C}_{14}\text{H}_{29}\text{NO}_2$ : C, 69.09; H, 12.01; N, 5.75. Found: C, 69.08; H, 11.99; N, 5.96.

## References

1. (a) For a review of renin inhibitors, see: Ocain, T. D.; Abou-Gharbia, M. *Drugs Fut.* **1991**, *16*, 37. (b) For a review of HIV-protease inhibitors, see: Babine, R. E.; Bender, S. L. *Chem. Rev.* **1997**, *97*, 1359.
2. (a) Yamada, Y.; Ando, K.; Ikemoto, Y.; Tada, H.; Shirakawa, E.; Inagaki, E.; Shibata, S.; Nakamura, I.; Hayashi, Y.; Ikegami, K.; Uchida, I. *Chem. Pharm. Bull.* **1997**, *45*, 1631. (b) Yamada, Y.; Ando, K.; Komiyama, K.; Shibata, S.; Nakamura, I.; Hayashi, Y.; Ikegami, K.; Uchida, I. *Bio. Med. Chem. Lett.* **1997**, *7*, 1863.
3. Evans, D. A.; Chapman, K. T.; Carreria, E. M. *J. Am. Chem. Soc.* **1988**, *110*, 3560.
4. Mukaiyama, T. *Org. React.* **1982**, *28*, 203.
5. Iizuka, K.; Kamijo, T.; Harada, H.; Akahane, K.; Kubota, T.; Umeyama, H.; Ishida, T.; Kiso, Y. *J. Med. Chem.* **1990**, *33*, 2707.
6. Amice, P.; Blanco, L.; Conia, J. M. *Synthesis* **1976**, 196.
7. The configurations of **4a** were determined by the procedure described in Ref. 2a.
8. Similar results were reported on the aldol reaction using trimethylsilyl ketene acetal: Takemoto, Y.; Matsumoto, T.; Ito, Y.; Terashima, S. *Chem. Pharm. Bull.* **1991**, *39*, 2425.
9. Reetz, M. T. *Angew. Chem., Int. Ed. Engl.* **1984**, *23*, 556.
10. The hydrogen bonding transition state model has been proposed to explain some stereoselective reactions of  $\alpha$ -amino ketones and aldehydes: (a) Hartley, D. *Chem. Ind.* **1981**, 551. (b) Jurczak, J.; Goxebiowski, A.; Raczko, J. *Tetrahedron Lett.* **1988**, *29*, 5975.
11. The configurations of **4b** and **5b** were determined as follows. The acetylation of the hydroxy group of **4b** (**5b**) gave the compound identical with that prepared from **4a** (**5a**) by the sequential *N*-methylation and *O*-acetylation.
12. Trimerization of an aldehyde induced by  $\text{BF}_3 \cdot \text{OEt}_2$  has been reported: Denmark, S. E.; Wilson, T.; Willson, T. M. *J. Am. Chem. Soc.* **1988**, *110*, 984.